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TRANSPORTATION  
COMMISSION**

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## ***Memorandum***

TO: Transit Finance Working Group

DATE: August 7, 2013

FR: Glen Tepke

RE: FTA Grants Update

### **Status of FY13 Grants**

The attachment summarizes the status of all grants for which MTC has provided concurrence letters in FY13, plus a few grants that we provided letters for in FY12, but have not yet been awarded by FTA, as of July 30, 2013. FTA Region IX requires concurrence letters from MTC for all grant applications in the region.

Several submitted grants have been pending for more than two months without being awarded by FTA, which may be due to objections filed by unions in the DOL review. FTA Region IX staff reports that DOL has not reached any resolution to union objections related to the California Public Employees' Pension Reform Act (PEPRA). However, neither MTC nor FTA Region IX is notified when an objection is filed, so we cannot readily determine if delays in grant awards are due to union objections or other, unrelated reasons.

### **Caltrans Concurrence**

Caltrans concurrence is required for Section 5307 grants that include small urbanized area (UA) funds, including grants that include both large and small UA funds, but affected operators do not need to request a letter from Caltrans. Operators should request a letter from MTC when the grant is ready to submit. MTC will cc Caltrans when we issue our letter, and Caltrans will respond with its concurrence via email. Operators should attach both the MTC letter and the Caltrans email to the grant in TEAM. After an initial start-up period for this new procedure, Caltrans is now responding promptly to MTC's concurrence letters.

### **Supplemental Agreements**

Supplemental agreements are required for 5307, JARC, New Freedom, CMAQ and STP grants, but not for 5309 FG, 5337, 5339, 5309 Bus, New Starts, Ferry Boat Discretionary, or other FTA and FHWA discretionary program grants. MTC executes all supplemental agreements except 5307 grants that include only small UA funds, which will be executed by Caltrans. However, as of July 25, Caltrans staff reports that it does not yet have the TEAM access required to execute supplemental agreements. Caltrans is working with FTA Region IX to resolve this issue.

### **Section 5307 Large and Small Urbanized Area Grants**

FTA revised its initial guidance and now allows Section 5307 large and small UA funds to be included in a single grant, as they were before MAP-21. MTC will execute supplemental agreements for such grants.

### **Section 5339 Grants**

Only designated recipients – MTC for large UAs and Caltrans for small UAs – can submit Section 5339 Bus & Bus Facilities grants. MTC has prepared the regional FY13 5339 large UA grant on behalf of operators. As of July 31 we are awaiting additional detail on buses being replaced with 5339 funds that was requested by Region IX staff. We will submit the grant as soon as we receive the requested information from operators.

Caltrans staff recently advised that they will issue a call for projects for FY13 5339 small UA funds in October or November 2013. The call for projects will request information on projects that were programmed at the regional level, including the MTC region, and project proposals from regions that are not programming 5339 small UA funds for a statewide competitive program. The call for projects will also solicit proposals for \$1.25 million in 5339 funds that was apportioned directly to the state. Staff will provide additional information on the 5339 small UA process as it becomes available.

**TFWG Item 7 Attachment: FTA Grants FY2012-13 Status as of July 30, 2013**

Section 5307 Urbanized Area								
Operator	Grant #	Letter Requested	Letter Sent	Caltrans Concur.	Grant Submitted	Grant Awarded	Supp. Executed	Notes
AC Transit	CA-90-Z058	6/24/2013	7/16/2013					
ACE	CA-90-Y953-01	4/25/2013	5/30/2013		5/30/2013	6/19/2013		Amend to FY12 grant; Supp. executed by San Joaquin RTD
ACE	CA-90-Z073	6/10/2013	7/25/2013		7/30/2013			FY13 grant; Supp. executed by San Joaquin RTD
BART	CA-90-Y604	6/5/2013	6/6/2013					revised letter for 2008 grant requested by FTA for audit
BART	CA-90-Z079	7/24/2013	7/31/2013					FY13 grant
Caltrain	CA-90-Z042	5/23/2013	6/4/2013		7/8/2013			
CCCTA	CA-90-Z065	6/5/2013	6/6/2013		6/6/2013			
ECCTA								
Fairfield	CA-90-Y966-01	3/7/2013	3/26/2013		4/24/2013	5/13/2013	5/14/2013	Amendment to FY12 grant
Fairfield								FY13 grant; supp executed by Caltrans
GGBHTD	CA-90-Y963	6/4/2013	6/6/2013		6/14/2013			FY11 funds
LAVTA	CA-90-Z080	6/17/2013	6/27/2013		7/27/2013			Concord & Livermore UA funds
MCTD	CA-90-Z068	6/26/2013	7/3/2013		7/8/2013			
Napa	CA-90-Z056	5/16/2013	6/6/2013	6/17/2013	6/19/2013			Supp executed by Caltrans
Petaluma								Supp executed by Caltrans
SFMTA	CA-90-Z086	6/19/2013	7/17/2013		6/19/2013			
SamTrans	CA-90-Z043	5/16/2013	5/16/2013		6/4/2013			
Santa Rosa								
SoTrans	CA-90-Z083	7/19/2013	7/26/2013					SF-O UA funds
SoTrans	CA-90-Z078	7/19/2013	7/26/2013	7/26/2013				Vallejo UA funds
Sonoma								
Vacaville	CA-90-Z049	4/3/2013	4/9/2013	6/17/2013	5/1/2013	6/19/2013		Supp executed by Caltrans but waiting for Caltrans to get access
VTa	CA-90-Z051	7/15/2013	7/25/2013					San Jose & GMH UA funds
WETA	CA-90-Z066	5/13/2013	5/16/2013		6/11/2013			
Westcat	CA-90-Z072	5/13/2013	5/16/2013		5/22/2013			

Section 5309 Fixed Guideway							
Operator	Grant #	Letter Requested	Letter Sent	Grant Submitted	Grant Awarded	Supp. Executed	Notes
BART	CA-05-0277	7/10/2013	7/17/2013	7/17/2013			
Caltrain	CA-05-0276	5/23/2013	6/4/2013	7/8/2013			
VTa	CA-05-0274-01	7/15/2013	7/26/2013				
WETA	CA-05-0278	?	5/14/2013	6/11/2013			

Section 5337 State of Good Repair							
Operator	Grant #	Letter Requested	Letter Sent	Grant Submitted	Grant Awarded	Supp. Executed	Notes
BART	CA-54-0007	7/10/2013	7/17/2013	7/19/2013			
Caltrain	CA-54-0004	5/23/2013	6/4/2013	7/8/2013			
SFMTA	CA-54-0010	6/19/2013	6/27/2013	6/19/2013			
VTa	CA-54-0002	7/15/2013	7/26/2013				HIM PM
VTa	CA-54-0003	7/15/2013	7/26/2013				Rail Rehab

Section 5339 Bus & Bus Facilities							
Operator	Grant #	Grant Info Received	Letter Sent	Grant Submitted	Grant Awarded	Grant Executed	Notes
CCCTA	CA-34-0001	6/19/2013					Included in MTC 5339 grant
ECCTA							Elected to roll FY13 5339 funds over to FY14.
Napa							Included in Caltrans 5339 grant
Petaluma							Included in Caltrans 5339 grant
SFMTA	CA-34-0001	6/12/2013					Included in MTC 5339 grant
Santa Rosa	CA-34-0001	6/19/2013					Included in MTC 5339 grant
Sonoma							Elected to roll FY13 5339 funds over to FY14.
Vacaville							Included in Caltrans 5339 grant
VTa	CA-34-0001	6/19/2013					SJ UA included in MTC 5339 grant, GMH UA in Caltrans grant

Section 5316 JARC							
Operator	Grant #	Letter Requested	Letter Sent	Grant Submitted	Grant Awarded	Supp. Executed	Notes
AC Transit	CA-37-X187	6/24/2013	6/24/2013	6/26/2013			
Marin Transit	CA-37-X183	6/5/013	6/6/2013	6/17/2013	7/26/2013		
MTC	CA-37-X177	5/28/2013	5/28/2013	5/24/2013			FY11 & FY12 funds
SFMTA	CA-37-X180	3/26/2013	4/9/2013	4/9/2013	5/29/2013	6/5/2013	
Santa Rosa	CA-37-X170	7/8/2013	7/9/2013	7/19/2013			
WestCat	CA-37-X185	5/20/2013	5/20/2013	5/22/2013			

Other FTA Programs & FHWA Flex Funds								
Operator	Fund Source	Grant #	Letter Requested	Letter Sent	Grant Submitted	Grant Awarded	Supp. Executed	Notes
ACE	STP	CA-95-X218	4/30/2013	5/30/2013	2/27/2013	7/3/2013		Supp. executed by San Joaquin RTD
AC Transit	CMAQ	CA-95-X021	9/6/2012	9/11/2012				
AC Transit	5309 Bus SGR	CA-04-0264	12/3/2012	12/17/2012				
AC Transit	5309 Bus	CA-04-0263	4/2/2013	4/9/2013	7/25/2013			
AC Transit	CMAQ	CA-95-X335	6/24/2013	7/16/2013	7/19/2013			
BART	5309 Bus	CA-04-0212	2/4/2013	2/7/2013	2/12/2013			
Caltrain	5309 Bus	CA-04-0270	2/6/2013	2/7/2013				
CCCTA	5308 Clean Fuels	CA-58-0018	2/14/2013	2/19/2013	7/3/2013			
Fairfield	CMAQ	CA-95-X241	3/7/2013	3/26/2013	6/20/2013	7/3/2013	7/8/2013	
Golden Gate	Ferry Boat Disc.	CA-70-X016	4/29/2013	5/3/2013	6/14/2013			
Golden Gate	CMAQ	CA-95-X252	6/7/2013	6/10/2013	6/14/2013			
Marin	5320 Parks Transit	CA-20-X013	3/6/2013	5/14/2013	5/16/2013			
Petaluma	5309 New Starts	CA-03-0817	5/14/2012	5/21/2012				Submitted on behalf of SMART
Samtrans	5309 Bus	CA-04-0221	3/21/2013	3/26/2013	4/22/2013			
SFMTA	5309 New Starts	CA-03-0819	5/15/2012	6/6/2012				
SFMTA	CMAQ	CA-95-X150-01	7/9/2012	7/16/2012	8/2/2012			
SFMTA	STP	CA-95-X179	12/19/2012	12/20/2012	12/20/2012	1/30/2013	2/5/2013	
SFMTA	5309 Bus	CA-04-0196-01	4/9/2013	4/18/2013	4/18/2013	7/19/2013		
SFMTA	5309 Bus	CA-04-0278	4/22/2013	5/1/2013	4/22/2013	6/12/2013		
SFMTA	STP	CA-95-X179-01	6/19/2013	7/17/2013	6/19/2013			
SFMTA	STP	CA-95-X219-01	6/19/2013	6/27/2013	6/19/2013			
SFMTA	TIGER	CA-79-0002	7/16/2013	7/25/2013	7/16/2013			
SoTrans	STP	CA-95-X230	2/27/2013	3/21/2013				
Vacaville	CMAQ	CA-95-X237	4/22/2013	4/26/2013	5/2/2013			
VTa	STP	CA-95-X149	2/7/2013	2/12/2013	5/6/2013			
VTa	CMAQ	CA-95-X216	2/7/2013	2/12/2013	5/6/2013			
VTa	5309 Bus	CA-04-0268	2/7/2013	2/12/2013	5/6/2013	6/7/2013		
VTa	VTCLI	CA-26-0061	2/7/2013	2/12/2013	5/6/2013	5/31/2013		
VTa	5309 New Starts	CA-03-0818-01	6/10/2013	6/10/2013				
VTa	5309 Bus	CA-04-0258	7/15/2013	7/30/2013				
VTa	STP	CA-95-X243	7/15/2013	7/30/2013				
WETA	5309 Bus	CA-04-0209-01	5/30/2013	6/6/2013	6/6/2013			
WETA	Ferry Boat Disc.	CA-70-X018	6/7/2013	6/14/2013	6/17/2013			Funds obligated by Vallejo CA-70-X009 2009, reprogrammed to WETA.